



Vantage

Personal Pension Plan

Application Form



Applicant's Details

Surname

Forename(s)

Please state any former name(s)
(including maiden name, if applicable)
or any other names used

Title (i.e.; Mr/Mrs/Miss/Other)

Marital status

Date of birth

Place of birth

Address

Postcode

Email address

Please tick this box if you wish to receive correspondence/pension statements by email:

Home telephone

Mobile telephone

Income tax reference

Occupation

Employer/previous employer if you
are retired or contribution(s) are from
previous employer

Politically Exposed Persons

Please advise if you or any family member(s) or close associate(s) are Politically Exposed Person? Yes No

“Politically Exposed Persons” (PEPs) are individuals who are or have been entrusted with prominent public functions in a foreign country, for example Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials. Business relationships with family members or close associates of PEPs involve reputational risks similar to those with PEPs themselves. The definition is not intended to cover middle ranking or more junior individuals in the foregoing categories.”

Please provide a description of the political governmental office held:

Plan Details

- | | | |
|--|-----|----|
| 1. Will there be any transfer(s) of existing pension benefits to this new personal pension plan? | Yes | No |
| a. Is there any transfers from a UK scheme? | Yes | No |

Source of funding for your existing pension(s)*

*If funding is from current employer please state current employer. Should funding have come from a previous employer please give details of previous employer. Should funding have come from another source i.e. inheritance please give details.

Please complete a transfer request form for each scheme to be transferred.

- | | | |
|---|-----|----|
| 2. Will there be further contributions made to this personal pension plan?
Please indicate the type of contribution that will be made: | Yes | No |
| a. Single | Yes | No |
| Value of single payment | | £ |
| (Please make cheques payable to "Vantage Pension Trustees Limited") | | |
| b. Regular | Yes | No |
| Value of regular payments | | £ |
| Employer | | £ |
| Employee | | £ |

How often will payments be made? (Please tick)

- Monthly
- Annually

Please ensure that the regular contribution form is completed and signed.

Death Benefit Nomination

Client name

To: The trustee of the personal pension plan, in the event of my death I would ask that any lump sum death benefit payable under the provisions of my personal pension plan be applied for the benefit of the following person(s) in the proportion(s) shown.

Nominee number 1

Surname

Forename(s)

Address

Postcode

Relationship

Proportion of benefits(s) to be paid to this beneficiary

Nominee number 2

Surname

Forename(s)

Address

Postcode

Relationship

Proportion of benefits(s) to be paid to this beneficiary

Nominee number 3

Surname

Forename(s)

Address

Postcode

Relationship

Proportion of benefits(s) to be paid to this beneficiary

Date

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Participation Agreement

THIS DOCUMENT FORMS THE PARTICIPATION AGREEMENT BETWEEN THE ABOVE NAMED MEMBER AND VANTAGE PENSION TRUSTEES LIMITED, SUPPLEMENTAL TO A RETIREMENT TRUST SCHEME KNOWN AS THE PERSONAL PENSION PLAN.

THIS PARTICIPATION AGREEMENT is made on the day and year of the signing of this agreement, between the above named Member, ("The Member"), and Vantage Pension Trustees Limited a private company limited by shares organised and existing under the laws of the Island of Jersey and whose registered office is at Vantage House, Anley Street, St Helier, Jersey, Channel Islands, JE2 3QE (The "Trustee").

SUPPLEMENTAL TO a declaration of trust dated 15th April 2008 made by the Trustee (the "Trust"), with rules scheduled thereto (the "Rules"), and known as the "Personal Pension Plan" wherein the Trustee established a Retirement Trust Scheme for the purpose of providing retirement benefits for members and subsidiary benefits for their respective surviving spouses or estates (together the Trust and the Rules shall be referred to hereinafter as the 'Scheme').

Whereas:-

- a. The Scheme was established and is administered with approval from the Comptroller of Income Tax in Jersey under Article 131CA of the Income Tax (Jersey) Law 1961 (as amended).
- b. The Trustee is the present sole trustee of the Scheme.
- c. By clause 1.1.15 of the Trust any person may apply for membership of the Scheme by submitting to the Trustee an application (in a form approved by the Trustee) for membership to the Scheme and admission of membership thereto shall operate as from the date agreed by the Trustee whereupon such person shall be a member of the Scheme and the Trust and Rules shall be read and construed in respect of such person as referring to him as a Member (as defined in the Trust) of the Scheme.
- d. The Trustee hereby acknowledges that this Agreement is in a form approved by the Trustee as being an application for membership to the Scheme.
- e. The Member wishes to become a member of the Scheme and make Contributions (as defined in the Trust) to a Sub-Fund (as defined in the Trust) of the Scheme which will be specifically established by the Trustee for the Member.
- f. The Member is desirous of submitting to the terms and conditions of the Trust and the Rules.
- g. By clause 4.5 of the Trust the Trustee may when investing the Fund (as defined in the Trust) take into account any instructions as to investments given to it in writing by the Member whereby the Member indicates his preference for one or more investments contained in a portfolio of investments selected from time to time by the Trustee (or any duly appointed investment manager) ("Suggested Investment") and in doing so the Trustee may require the Member to provide the Trustee with such reasonable security as to any liability arising from the loss of any depreciation of or default upon the Suggested Investment as the Trustee may reasonable require.
- h. If the Member elects to provide written instructions as to the Suggested Investment to the Trustee (either upon the date of participation in the Scheme or at any time thereafter) the Member is desirous of and is deemed to have provided the Trustee with the indemnity hereinafter contained in respect of any Suggested Investment.

Now This Agreement Witnesseth:-

1. Save where the context otherwise admits or requires words and expressions used herein shall bear the same meanings and have the same interpretation as in the Scheme.
2. It is hereby agreed between the Trustee and the Member that the Member shall be entitled to participate in and become a member of the Scheme.
3. The Member hereby covenants with the Trustee that his participation shall be governed by the terms and conditions of the Scheme (or any amendments thereto) and that the Member will at all times observe and be bound by all the terms and conditions of the Scheme (and any amendments thereto).
4. In respect of clause 4.5 of the Trust the Member for himself, his heirs personal representatives and estate hereby covenants with (a) the Trustee and (b) the successors officers employees servants agents of the Trustee and their respective successors personal representatives and estates (hereinafter together called the "Indemnified Persons") at all times fully and effectually (but subject as provided below) to indemnify keep indemnified and hold harmless the Indemnified Persons from and against and in respect of all liabilities actions proceedings claims demands costs and expenses whatsoever and wheresoever arising for or in respect of which the Indemnified Persons may be or become liable as trustee or former trustee of the Scheme in connection with or arising out of the Scheme or the Suggested Investment comprised therein from time to time whether the same be enforceable in law or not and including in particular (but without prejudice to the generality of the foregoing) all taxes duties and fiscal impositions (including all interest penalties costs charges and expenses or other sums in connection therewith) by the revenue or other authorities of any government in any part of the world PROVIDED THAT the liabilities of the Trustee under the above covenant shall be limited in the following manner:
5. Any liability action proceeding account cost claim or demand in respect of which the Trustee would not have been lawfully entitled to indemnification according to the Proper Law thereof.
6. The participation of the Member in the Scheme shall be deemed to have commenced on the date of this Agreement.
7. The Member hereby agrees to pay any charges of the Trustee together with any administration or transaction fee due in accordance with the Trustee's method of charging for trust business in force from time to time.
8. This Agreement may be executed in any number of counterparts all of which shall be an original but when taken together (including facsimile or scanned exchanged signed counterparts) shall constitute one and the same Agreement as if the signatures on each counterpart were on a single copy of this Agreement and any party may enter into this Agreement by executing a counterpart.
9. This Agreement shall be governed by and construed in accordance with the laws of the Island of Jersey and the parties hereby submit to the non-exclusive jurisdiction of the Courts of the Island of Jersey in connection therewith.

In witness whereof the parties have executed this agreement on the day and year of the signing of this agreement.

SIGNED BY the said **MEMBER**

Signature

Member's full name

Date

In the presence of

Witness signature

Full name of witness

Date

Address of witness

<input type="text"/>
<input type="text" value="Postcode"/>

Occupation of witness

SIGNED BY the following authorised signatories on behalf of Vantage Pension Trustees Limited as Trustee of the personal pension plan.

Authorised signatory

Authorised signatory

The member hereby confirms that by signing this agreement they fully understand the following;

- That where necessary, they have obtained the necessary financial, investment, taxation or other relevant or specialist advice required for my personal circumstances in connection with the suitability of a retirement trust scheme.
- That they have seen sight of the relevant fee schedule for their requested retirement trust scheme and agree to the specified charging structure.
- That you authorise "the Trustee" to correspond with your appointed advisor in respect of the scheme and its investments.

The member furthermore affirms that by signing this agreement they confirm the following statements are true to the best of their knowledge and belief;

- That all details provided by the said member and contained or included within this application are true and correct.
- That all contributions and transfers into the pension fund by the said member are not the result of, or connected with, any illegal or terrorist activity.

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Transfer Request Form

(NB. Please complete a separate transfer request form for each separate pension fund to be transferred into the personal pension plan.)

Name of scheme

Scheme reference number (official use only)

I request and consent to the payment of the transfer value(s) from the pension scheme detailed below to my personal pension plan as shown above.

I consent to Vantage/my financial advisor* obtaining details from the scheme administrator, trustee, insurance company or other pension provider of the following scheme/contract of which I am a member and authorise the giving of such details to Vantage/my financial advisor*.

Please provide Vantage/my financial advisor* with the transfer/discharge forms required to transfer my existing pension benefits to my new personal pension plan (*delete where applicable).

Member's name

Name of transferring scheme

Address of scheme

Scheme reference number

Pension value (if known)

Signature

Member's full name

Date

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Contribution / Standing Order Instruction

Account name: Vantage Pension Trustees Limited

Bank: Royal Bank of Scotland International, PO Box 64, 71 Bath Street, St Helier, Jersey, JE4 8PJ

Sort Code: 16 – 10 – 28

Account Number: 50768223

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Application Checklist

Please ensure the following have been completed and are enclosed with this application (please tick):

Investment selection details (including supporting information, where applicable) (page 4)

Completed fee agreement (page 4)

Death benefit nominee details (if applicable) (page 5)

Signed participation agreement (page 8)

Transfer request form (if Vantage are to arrange transfer(s)) (page 9)

Additional contributions/standing order form (page 11)

Photographic ID (or certified copy) (i.e. passport, driving licence)

Utility bill or bank statement (or certified copy) (not older than 3 months from date of this application)

Was the client present for identification purposes? Yes No

Financial advisor details

Name of advisor/agent

Authorised signatory

Agency stamp

Date



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